UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: AKINS ET AL. **UNKNOWN** ART GROUP: APPL. NO.: UNKNOWN **EXAMINER:** UNKNOWN FILED: JUNE 25, 2003 DOCKET NO .: A-9286

TITLE: METHOD FOR PARTIALLY ENCRYPTING PROGRAM DATA

JUNE 25, 2003

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing in the above-identified patent application, please find:

2 Page Information Disclosure Statement w/transmittals

Form PTO-1449 Copy of Cited Art

In the event a fee is required, the Commissioner is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to our Deposit Account No. 19-0761. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted:

SEND CORRESPONDENCE TO:

Scientific-Atlanta, Inc. Intellectual Property Dept. MS 4.3.510 5030 Sugarloaf Parkway

Lawrenceville, GA 30044

SHELLEY L. COUTURIER

Agent of Record Reg. No.: 47,503 Phone: (770) 236-2352 Fax No.: (770) 236-4806

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: AKINS ET AL. GRP. ART UNIT: UNKNOWN APPL. NO.: UNKNOWN EXAMINER: UNKNOWN FILED: JUNE 25, 2003 DOCKET NO.: A-9286

TITLE: METHOD FOR PARTIALLY ENCRYPTING PROGRAM DATA

JUNE 25, 2003

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

M	under 37 CFR 1.97(b), or (within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
	under 37 CFR 1.97(c) together with either a: Statement Under 37 C.F.R. 1.97(e), or a \$180.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
	under 37 CFR 1.97(d) together with a: Statement under 37 CFR 1.97(e), and a \$180.00 petition fee set forth in 37 CFR 1.17(i)(1). (Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge \$0.00 to deposit account 19-0761. At any time during the pendency of this application, please charge any fees required to Deposit Account 19-0761 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 19-0761.

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

The Cited Art includes:

1. U.S. 5,418,782

4. U.S. 6,108,365

2. U.S. 5,420,866

5. U.S. 2002/0188567

3. U.S. 6,009,116

6. U.S. 2002/0194613

7. U.S. 2002/0196939

11. U.S. 2003/0046686

8. U.S. 2003/0016949

12. U.S. 2003/0081776

- 9. U.S. 2003/0021412
- 10. U.S. 2003/0026423

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

By:

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Intellectual Property Dept. MS 4.3.510

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Lawrenceville, GA 30044

SHELLEY L. COUTURIER

Agent of Record

Reg. No.: 47,503

Phone: (770) 236-2352

Fax No.: (770) 236-4806

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hereby certify that this correspondence is being hand-

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Signature

2003.

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		τ	J.S. PATENT	DOCUMENTS		-	<u> </u>	
Exami ner's Initial		Document No.	Date	Name	Class	Subclas	ss	
	AA	5,418,782	5/23/95	Wasilewski	370	73		
	AB	5,420,866	5/30/95	Wasilewski	370	110.1		
	AC	6,009,116	12/28/99	Bednarek et al.	375	200		
	AD	6,108,365	8/22/00	Rubin et al.	375	130		
	AE	2002/0188567	12/12/02	Candelore	705	51		
	AF 2002/0194613 AG 2002/0196939 AH 2003/0016949		12/19/02	Unger	725 118			
			12/26/02	Unger et al.	380	216		
			1/23/03	Unger	386	94		
	AI	2003/0021412	1/30/03	Candelore et al.				
	AJ	2003/0026423	2/6/03	Unger et al.				
	AK	2003/0046686	3/6/03	Candelore et al. 725		31		
	AL	2003/0081776	5/1/03	Candelore	380	200		
		FORE	EIGN PATE	NT DOCUMEN'	TS_			
		Document No.	Date	Country	Class	Subclass	Translation	
	AM							
	AN							
	AO							
	AP							
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

AKINS ET AL.

GRP. ART UNIT: 2132

APPL. NO.:

09/930,901

EXAMINER:

G. BARRON, JR.

FILED:

AUGUST 16, 2001

DOCKET NO.:

A-7598

TITLE:

REPRESENTING ENTITLEMENTS TO SERVICE IN A CONDITIONAL

ACCESS SYSTEM

JULY 19, 2002

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Received

Commissioner for Patents Washington, D.C. 20231

JUL 1 9 2002

Sir:

Technology Center 2100

Transmitted herewith for filing in the above-identified patent application, please find:

1. <u>X</u>

3 Page Information Disclosure Statement

2. X

7 Page Form PTO-1449

3. X

Copy of Cited Art

4. X

Return Postcard

In the event a fee is required, the Commissioner is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to our Deposit Account No. 19-0761. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted:

SEND CORRESPONDENCE TO:

Scientific-Atlanta, Inc.
Intellectual Property Dept. MS 4.3.518

5030 Sugarloaf Parkway

Lawrenceville, GA 30044

By:

HUBERT J. BARNHARDT III

Attorney of Record

Reg. No.: 36,739

Phone: (770) 236-5950

Fax No.: (770) 236-4806

Certificate of Hand Delivery

_, hereby certify that this correspondence with all

Signature

Printed Name

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GRP. ART UNIT: 2132 APPLICANTS: AKINS ET AL. APPL. NO.: 09/930,901 EXAMINER: G. BARRON, JR. AUGUST 16, 2001 DOCKET NO.: A-7598 FILED: REPRESENTING ENTITLEMENTS TO SERVICE IN A CONDITIONAL TITLE: ACCESS SYSTEM JULY 19, 2002 Received INFORMATION DISCLOSURE STATEMENT JUL 1 9 2002 Commissioner for Patents Washington, D.C. 20231 **Technology Center 2100** Sir: This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically: \boxtimes under 37 CFR 1.97(b), or (within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last) \Box under 37 CFR 1.97(c) together with either a: Statement Under 37 C.F.R. 1.97(e), or a \$180.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first) П under 37 CFR 1.97(d) together with a: Statement under 37 CFR 1.97(e), and a \$180.00 petition fee set forth in 37 CFR 1.17(i)(1). (Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee) Please charge \$0.00 to deposit account 19-0761. At any time during the pendency of this application, please charge any fees required to Deposit Account 19-0761 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 19-0761. \boxtimes Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided. The Cited Art includes: 1. 4,155,042 5. 4,531,020 9. 4,649,533 13. 4,736,422 2. 4,358,672 6. 4,600,921 10. 4,658,093 14. 4,823,385 3. 4,388,643 7. 4,613,901 11. 4,712,238 15. 4,864,615 4. 4,405,829 8. 4,634,807 12. 4,712,239 16. 4,866,770

77. 5,671,276	57. 5,488,410	37. 5,270,822	17. 4,885,777
78. 5,675,649	58. 5,497,422	38. 5,282,248	18. 4,887,296
79. 5,740,246	59. 5,499,294	39. 5,282,249	19. 4,912,762
80. 5,742,677	60. 5,499,295	40. 5,285,497	20. 4,982,430
81. 5,764,770	61. 5,506,904	41. 5,301,233	21. 4,993,068
82. 5,787,172	62. 5,509,073	42. 5,341,425	22. 5,003,591
83. 5,857,020	63. 5,519,780	43. 5,343,527	23. 5,018,196
84. 5,862,220	64. 5,524,052	44. 5,381,477	24. 5,029,207
85. 5,870,474	65. 5,550,984	45. 5,381,481	25. 5,036,537
86. 5,920,626	66. 5,557,678	46. 5,400,401	26. 5,073,935
87. 6,005,938	67. 5,557,765	47. 5,402,490	27. 5,124,117
88. 6,105,134	68. 5,559,889	48. 5,414,773	28. 5,142,578
89. 6,246,767	69. 5,563,950	49. 5,420,866	29. 5,151,782
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91. RE 33,808	71. 5,568,552	51. 5,432,542	31. 5,175,765
92. EP 0 723 371 A	72. 5,568,554	52. 5,440,633	32. 5,231,665
93. EP 0 752 786 A	73. 5,583,939	53. 5,465,299	33. 5,235,643
94. WO 94/13107 A	74. 5,588,058	54. 5,473,692	34. 5,237,610
95. WO 95/29560 A	75. 5,590,202	55. 5,481,542	35. 5,243,652
Received	76. 5,621,793	56. 5,481,613	36. 5,249,230

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ISO/IEC JTC1/SC29/WG11, "Universal Multi-Program Multiplex and Transport for MPEG-2 Systems," January 1993.

ISO/IEC JTC1/SC29/WG11, "An MGEG-2 Multi-Program Multiplex Syntax," January 1993.

ISO/IEC JTC1/SC2/WG11, "Requirements and Method for High-Level Multiplexing of MPEG and Other Digital Service Bitstreams with Universal Transport Layer," November 1992.

Whitfield, Diffie, "Authentication and Authenticated Key Exchanges," DESIGNS, CODES AND CRYPTOGRAPHY, An International Journal, Vol. 2, No. 2, June 1992, pages 107-125.

JUL 1 9 2002

Schneier, Bruce, "Applied Cryptography Second Edition: Protocols, Algorithms and South 200 in C," pages 357-363.

Menezes, Alfred J., "Handbook of Applied Cryptography," pages 506-525.

TM-1244 Rev. 4, "Final Technical Report of the Conditional Access Specialist Group," November 17, 1994.

Coutrot et al., "A Single Conditional Access System for Satellite-Cable and Terrestrial TV," IEEE Transactions on Consumer Electronics, Vol. 35, No. 3, August 1989, pages 464-468.

Louis Claude Guillou and Jean-Luc Giachetti, "Encipherment and Conditional Access," SMPTE Journal, 103 (1994) June, No. 6, White Plains, NY.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

2

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Respectfully Submitted,

Received

JUL 1 9 2002

Dechnology Center 2100

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Scientific-Atlanta, Inc.

Intellectual Property Dept. MS 4.3.518

5030 Sugarloaf Parkway

Lawrenceville, GA 30044

HUBERT J. BARNHARDT III

Attorney of Record Reg. No.: 36,739

Phone: (770) 236-5950

Fax No.: (770) 236-4806

Certificate of Hand Delivery

I, Letter Hand, hereby certify that this correspondence with all attachments was hand-delivered to Examiner G. Barron, Jr. at the United States Patent and Trademark

Office on 11114 19 , 2002.

Signatur

Printed Name

Received

Form PTO-1449 U.S. Dept. of Commerce, Patent and Trademarks JUL 1 9 2002 LIST OF ART CITED BY APPLICANT (Use several sheets if necessary Technology Combr 2100 Attorney Docket No. Serial No. Filing Date A-7598 09/930,901 AUGUST 16, 2001 Applicant: Group AKINS ET AL. 2132 U.S. PATENT DOCUMENTS Exami Document No. Date Name Class Subclass ner's Initial AA4,155,042 5/15/79 Permut et al. Hyatt et al. AB 11/9/82 4,358,672 AC 4,388,643 6/14/83 Aminetzah AD 4,405,829 9/20/83 Rivest et al. Weschselberger ΑE 6/23/95 4,531,020 et al. AF 7/15/86 Thomas et al. 4,600,921 AG 4,613,901 9/23/86 Gilhousen et al. AH 4,634,807 1/6/87 Chorley et al. ΑI 4,649,533 3/10/97 Chorley et al. 4/14/87 Hellman AI 4,658,093 AK 4,712,238 12/8/87 Gilhousen et al. AL4,712,239 12/8/87 Frezza AM 4,736,422 4/5/88 Mason AN 4,823,385 4/18/89 Hegendörfer AO 9/5/89 Bennett et al. 4,864,615 Seth-Smith et AP 4,866,770 9/12/89 al. AQ 4,885,777 12/5/89 Takaragi et al. AR 4,887,296 12/12/89 Home

Form P	TO-1449			erce, Patent and Trad		JUL 1 9 20	102
		Lic		al sheets if necessary	AIVI	Technology Cont	ır 2101
Attorne A-7598	y Docke	t No.	Serial No. 09/930,901		Filing I	ng Date GUST 16, 2001	
Applica AKINS	ent: ET AL.			Group 2132			
			U.S. PATE	NT DOCUMENTS			
Exami ner's Initial		Document No.	Date	Name	Class	Subclass	
	AS	4,912,762	3/27/90	Lee et al.			
	AT	4,982,430	1/1/91	Frezza et al.			
	AU	4,993,068	2/12/91	Piosenka et al			
	AV	5,003,591	3/26/91	Kauffman			
	AW	5,018,196	5/21/91	Takaragi et al.			
	AX	5,029,207	7/2/91	Gammie			
	AY	5,036,537	7/30/91	Jeffers et al.			
	AZ	5,073,935	12/17/9	Pastor			
	ВА	5,124,117	6/23/92	Tatebayashi et al.			
	BB	5,142,578	8/25/92	Matyas et al.			
	ВС	5,151,782	9/29/92	Ferraro			
	BD	5,155,591	10/13/9	2 Wachob			
	BE	5,175,765	12/29/9	2 Perlman			
	BF	5,231,665	7/27/93	Auld et al.			
	BG .	5,235,643	8/10/93	Anderson et al.			
	вн	5,237,610	8/17/93	Gammie et al.			
	BI	5,243,652	9/7/93	Teare et al.			
	ВЈ	5,249,230	9/28/93	Mihm, Jr.			

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Attorne: A-7598	y Docket	No.	Serial No. 09/930,901		Filing D	Date ST 16, 2001	
Applica AKINS			. G	roup 132			
			U.S. PATEN	T DOCUMENTS			
Exami ner's Initial		Document No.	Date	Name	Class	Subclass	
	ВК	5,270,822	12/14/93	Choi	·		
	BL	5,282,248	1/25/91	DeJoy			
	ВМ	5,282,249	1/25/94	Cohen et al.	,	·	
	BN	5,285,497	2/8/94	Thatcher, Jr.			
i	во	5.301,233	4/5/94	Coutrot et al.			t
	BP	5,341,425	8/23/94	Wasilewski et al.			
i	BQ	5,343,527	8/30/94	Moore			
	BR	5,381,477	1/10/95	Beyers III et al.			
	BS	5,381,481	1/10/95	Gammie et al.			
	вт	5,400,401	3/21/95	Wasilewski et al.			
	BU	5,402,490	3/28/95	Mihm, Jr.			
	BV	5,414,773	5/9/95	Handelman			
	BW	5,420,866	5/30/95	Wasilewski			
	BX	5,425,101	6/13/95	Woo et al.			
:	BY	5,432,542	7/11/95	Thibadeau et al.			
	BZ	5,440,633	8/8/95	Augustine et al.			
	CA	5,465,299	11/7/95	Matsumoto et al.			
	СВ	5,473,692	12/5/95	Davis			

Form P	TO-1449	U.S. De _r LIST	OF ART CIT	e, Patent and Trader ED BY APPLICA eets if necessary	narks NT	JUL 1 9 Z002 Technology Conto 2	
Attorne A-7598	y Docke		Serial No. 09/930,901		Filing Date AUGUST 16, 2001		
Applica	ant: ET AL.		Gr 21:	oup 32			
				DOCUMENTS			
Exami ner's Initial		Document No.	Date	Name	Class	Subclass	
	CC	5,481,542	1/2/96	Logston et al.		·	
	CD	5,481,613	1/2/96	Ford et al.			
	CE	5,488,410	1/30/96	Lieberfarb et al.			
	CF	5,497,422	3/5/96	Tysen et al.		·	
	CG	5,499,294	3/12/96	Friedman			
	СН	5,499,295	3/12/96	Cooper			
	CI	5,506,904	4/9/96	Sheldrick et al.			
	CJ	5,509,073	4/16/96	Monnin et al.			
	CK	5,519,780	5/21/96	Woo et al.			
	CL	5,524,052	6/4/96	Augustine et al.			
	СМ	5,550,984	8/27/96	Gelb			
	CN	5,557,678	9/17/96	Ganesan			
	СО	5,557,765	9/17/96	Lipner et al.			
	СР	5,588,058	12/24/96	Le Вегге			
	CQ	5,559,889	9/24/96	Easter et al.			
·	CR	5,563,950	10/8/96	Easter et al.			
	CS	5,565,909	10/15/96	Thibadeau et al.			
	СТ	5,568,552	10/22/96	Davis			

Form P	ГО-1449	LIST	OF ART CIT	e, Patent and Trade ED BY APPLICA teets if necessary		Technology Cantal 213
Attorne A-7598	y Docket		erial No. 9/930,901		Filing D	Pate ST 16, 2001
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Exami ner's Initial		Document No.	Date	Name	Class	Subclass
	CU	5,568,554	10/22/96	Eastlake 3rd		
	CV	5,583,939	12/10/96	Chang et al.		
	CW	5,590,202	12/31/96	Bestler et al.		
	CX	5,621,793	4/15/97	Bednarek et al.		
	CY	5,671,276	9/23/97	Eyer et al.		
	CZ	5,675,649	10/7/97	Brennan et al.		
	DA	5,740,246	4/14/98	Saito		
	DB	5,742,677	4/21/98	Pinder et al.		
	DC	5,764,770	6/9/98	Schipper et al.		
	DD	5,787,172	7/28/98	Arnold		
	DE	5,857,020	1/5/99	Peterson, Jr.		
	DF	5,862,220	1/19/99	Perlman		
	DG	5,870,474	2/9/99	Wasilewski et al.		
	DH	5,920,626	7/6/99	Durden et al.		
	DI	6,005,938	12/21/99	Banker et al.		
	DJ	6,105,134	8/15/00	Pinder et al.		
	DK	6,246,767	6/12/01	Akins, III et al.		

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Form P	TO-1449	LIST	OF ART C	erce, Patent and CITED BY APP I sheets if necess	LICANT	Tac	hinology Contor 216			
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Exami ner's Initial		Document No.	Date	Name	Class	Subclas	ss			
	DL	RE33,189	3/27/90	Lee et al.						
	DM	RE33,808	1/28/92	Wright, Jr.						
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	DN.	EP 0 723 371 A	7/24/96	Europe						
	DO	EP 0 752 786 A	1/8/97	Europe						
	DP	WO 94/13107 A	6/9/94	PCT Internation	al					
	DQ	WO 95/29560 A	11/2/95	PCT Internation	al					
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	DR	ISO/IEC 13818-1	"Informati	on Technology -	- Generic Cod	ing of Movi	ng Pictures			
		and Associated A	and Associated Audio Systems," Draft of: November 13, 1994.							
	DS	ISO/IEC JTC1/SC for MPEG-2 Syste			i-Program Mu	ltiplex and	Transport			
	·DT	ISO/IEC JTC1/SC			fulti-Program	Multiplex S	vntax."			
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